



PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/760,057
Filing Date	January 16, 2004
First Named Inventor	Joseph J. Kubler et al.
Group Art Unit	2616
Examiner Name	Chin, Wellington
Attorney Docket No.	14364US04

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/A.C./	A1	4,553,081	11-12-1985	Koenck	
/A.C./	A2	4,716,354	12-29-1987	Hacker	
/A.C./	A3	4,961,043	10-02-1990	Koenck	
/A.C./	A4	5,260,988	11-09-1993	Schellinger et al.	
/A.C./	A5	5,371,898	12-06-1994	Grube et al.	
/A.C./	A6	5,420,911	05-30-1995	Dahlin et al.	
/A.C./	A7	5,452,289	09-19-1995	Sharma et al.	
/A.C./	A8	5,533,019	07-02-1996	Jayapalan	
/A.C./	A9	5,546,077	10-08-1996	Obayashi et al.	
/A.C./	A10	5,594,782	01-14-1997	Zicker et al.	
/A.C./	A11	5,610,910	03-11-1997	Focsaneanu et al.	
/A.C./	A12	5,654,957	08-05-1997	Koyama	
/A.C./	A13	5,754,542	05-19-1998	Ault et al.	
/A.C./	A14	5,764,736	06-09-1998	Shachar et al.	
/A.C./	A15	5,777,991	07-07-1998	Adachi et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
/A.C./	B1	PCT/WO91/08629	06-13-1991	Berken		

**OTHER ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

EXAMINER SIGNATURE	/Albert Chou/	DATE CONSIDERED	01/10/2008
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Rev. Sept. 03

J:\Open\phs\Broadcom (1772)\Qualcomm\Santa Ana 05-467 Litigation Statement & IDS\5,657,317 and 6,389,010 - VoIP\No Fee\14364US04\14364US04  
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/760,057
				Filing Date	January 16, 2004
				First Named Inventor	Joseph J. Kubler et al.
				Group Art Unit	2616
				Examiner Name	Chin, Wellington
				Attorney Docket No.	14364US04
				Sheet	1

[illegible]

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 2

**Complete if Known**

Application Number	10/760,057
Filing Date	January 16, 2004
First Named Inventor	Joseph J. Kubler
Art Unit	2661
Examiner Name	Not yet assigned
Attorney Docket Number	14364US04

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
/A.C./		6,850,510	February, 2005	Kubler et al.	
/A.C./		5,506,847	April, 1996	Shobatake	
/A.C./		5,650,994	July, 1997	Daley	
/A.C./		5,745,558	April, 1998	Ronen	
/A.C./		5,842,224	November, 1998	Fenner	
/A.C./		6,108,704	August, 2000	Hutton et al.	
/A.C./		6,131,121	October, 2000	Mattaway et al.	
/A.C./		6,389,010	May, 2002	Kubler et al.	
/A.C./		5,818,836	October, 1998	DuVal	
/A.C./		5,850,385	October, 1998	Esaki	
/A.C./		5,737,395	April, 1998	Imbarren	
/A.C./		5,608,786	March, 1997	Gordon	
/A.C./		5,726,984	March, 1998	Kubler et al.	
/A.C./		4,789,983	December, 1988	Acampora et al.	
/A.C./		5,359,598	October, 1994	Steagall et al.	
/A.C./		5,434,858	July, 1995	Focarile et al.	
/A.C./		5,446,736	August, 1995	Gleeson et al.	
/A.C./		4,837,858	June, 1989	Ablay et al.	
/A.C./		5,425,051	June, 1995	Mahany et al.	
/A.C./		5,295,154	March, 1994	Meier et al.	
/A.C./		4,538,259	August, 1985	Moore et al.	
/A.C./		5,142,534	August, 1992	Simpson et al.	

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

Examiner  
Signature

/Albert Chou/

Date  
Considered

01/10/2008

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete If Known</b>	
				Application Number	10/760,057
				Filing Date	January 16, 2004
				First Named Inventor	Joseph J. Kubler
				Art Unit	2661
				Examiner Name	Not yet assigned
Sheet	2	of	2	Attorney Docket Number	14364US04

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Examiner Signature	/Albert Chou/	Date Considered	01/10/2008
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/723,930
		Filing Date	November 28, 2000
		First Named Inventor	Ronald L. Mahany
		Group Art Unit	2665
		Examiner Name	Nguyen, Toan D.
Sheet 1 of 1	Attorney Docket No.	14409US01	

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/A.C./	A7	5,295,154	03/15/1994	Meier et al.	
/A.C./	A8	5,394,436	02/28/1995	Meier et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> -Number <sup>3</sup> -Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
/A.C./	B1	WO 94/11967	05/26/1994	Weeks		
/A.C./	B2	WO 96/05592	02/22/1996	Qualcomm		

OTHER ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
/A.C./	C15	HUANG, "Data Replication For Mobile Computers", ACM-SIGMOD, 05/1994
/A.C./	C16	BLAZE, "Catching In Large-Scale Distributed File Systems", PhD thesis, Princeton University, 01/1993
/A.C./	C17	IMIELINSKI et al., "Mobile Wireless Computing: Solutions And Challenges In Data Management", Dept. of Computer Science, Rutgers University, 1992
/A.C./	C18	DOUGLAS, "Transparent Process Migration: Design Alternatives And The Sprite Implementation", Software-Practice And Experience, Vol.; 21(8), 757-785, 08/1991
/A.C./	C19	BARTAL et al., "The Distributed k-Server Problem - A Competitive Distributed Translator for k-Server Algorithms, 1992
/A.C./	C20	BARBARA et al., "Replicated Data Management In Mobile Environments: Anything New Under The Sun?", 10/22/1993
/A.C./	C21	HUANG et al., "Object Allocation In Distributed Databases And Mobile Computers", 02/1994

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/A.C./	A14	5,912,882	06/15/1999	Yafuso	
/A.C./	A15	4,914,586	04/03/1990	Swinehart	
/A.C./	A16	6,006,100	12/21/1999	Koenck et al.	
/A.C./	A17	5,682,379	10/28/1997	Mahany et al.	
/A.C./	A18	6,359,872	03/19/2002	Mahany et al.	

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/A.C./	B4	WO 92/02084	02/06/1992	Koenck		

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/A.C./	C10	Defendant And Counterclaim Plaintiff Qualcomm Incorporated's Final Invalidity Contentions, With Exhibit C, 11/21/2006
/A.C./	C12	HARRICK et al., "Multimedia Conferencing In The Etherphone Environment," IEEE Computjer, Vol. 24, No. 10, 10/1991
/A.C./	C13	SWINEHART et al., "Adding Voice To An Office Computer Network", Proceedings of IEEE Globecom '83, 02/1984
/A.C./	C14	ZELLWEGER et al., "An Overview Of The Etherphone System And Its Applications," Proceedings of the 2 <sup>nd</sup> IEEE Conference on Computer Workstations, 1988
/A.C./	C17	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 3.05, 06/02/1994, 457QB00835840-77
/A.C./	C18	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 2.09, 03/06/1995, 457QB00836280-319
/A.C./	C19	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 3.02, 04/05/1995, 457QB00836414-53

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Rev. Sept. 03

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IDS - Voip 6,389,010\14364US04 Supp 3 PTOSB08A.doc

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		First Named Inventor	Joseph J. Kubler et al.
		Group Art Unit	2616
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Sheet	2	Of	2
		Attorney Docket Number	14364US04

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/A.C./	C20	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 3.04, 05/08/1995, 457QB00836798-834
/A.C./	C21	Qualcomm's STU-III Service Option for Wideband Spread Spectrum Systems (Proposed Draft Text), Version 3.04a, 06/02/1995, 457QB00836532-69
/A.C./	C22	WEISSMAN et al., End-To-End Security For Commercial PCS Networks, 04/23/1995, 457QB00836748-56
/A.C./	C23	Defendant Qualcomm Incorporated's Supplemental Responses To Plaintiff Broadcom Corporation's First Set Of Interrogatories (Nos. 1-19)
/A.C./	C24	Defendant Qualcomm Incorporated's Second Supplemental Responses To Plaintiff Broadcom Corporation's First Set Of Interrogatories (Nos. 1-19), 03/01/2006
/A.C./	C25	Defendant Qualcomm Incorporated's Third Supplemental Responses To Plaintiff Broadcom Corporation's First Set Of Interrogatories (Nos. 5, 10)
/A.C./	C26	Defendant Qualcomm Incorporated's Fifth Supplemental Responses To Plaintiff Broadcom Corporation's First Set Of Interrogatories (Nos. 2-4, 60 And First Supplemental Response To Interrogatory No. 18
/A.C./	C27	DeTreville et al., "A Distributed Experimental Communication System", IEEE Journal on Selected Areas in Communications, Vol. SAC-1, No.6, Dec. 1983.
/A.C./	C28	FYE, "Expert Report of Donald M. Fye Regarding U.S. Patent No. 6,389,010", with Appendix A and Exhibits 1-10, and 12-18, 12/22/2006

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.